PUBLIC UTILITIES COMMISSION 505 VAN NESS AVENUE SAN FRANCISCO, CA 64102-3298



December 18, 2018

Ronald K. Moore Senior Regulatory Analyst Golden State Water Company 630 East Foothill Blvd. San Dimas, CA 91773

Dear Mr. Moore,

The Commission has approved Golden State Water Company's Advice Letter No. 1761, filed on December 6, 2018, regarding the 2019 Interim Rates Memorandum Account.

Enclosed are copies of the following revised tariff sheets for the utility's files:

P.U.C. Sheet No.	Title of Sheet
8246-W	Preliminary Statements, Part GGGG
8247-W	Table of Contents, Page 1 of 4

Please contact Jeremy Ho at 415-703-1905, if you have any questions.

Thank you,

/s/ROBIN BRYANT

Robin Bryant
Water & Sewer Advisory Branch
Water Division

Enclosures



CALIFORNIA PUBLIC UTILITIES COMMISSION DIVISION OF WATER AND AUDITS

Advice Letter Cover Sheet

Date Mailed to Service List: 12/6/18

Utility Name: GOLDEN STATE WATER COMPANY

District:	Region 1, Region	2, Region 3				
CPUC Utility #:	133 W		P	rotest D	eadline (20th Day):	N/A
Advice Letter#:	1761-W		Re	Review Deadline (30th Day):		N/A
Tier		□ Compliance		Request	ed Effective Date:	1/1/2019
Authorization	ALJ Gerald F. Kelly's December 3, 2018 Ruling on Golden State Water's Motion for Interim Rates in A.17-07-010				Rate Impact:	N/A
Description:	2019 Interim Rate	es Memorandum Acco	unt		Nate mipati.	14/25
The protest or respons list. Please see the "Res	e deadline for this a sponse or Protest" s	advice letter is 20 days section in the advice let	from the date that thi ter for more informat	s advice	letter was mailed to) the service
Utility Con	ntact Ronald Mo	oore	Utility Co	ontact:	Nguyen Quan	
Ph	ione: (909) 394-3	3600 x 682	1	Phone: (909) 394-3600 x 664		
E	mail: <u>rkmoore@</u>	gswater.com		Email:	Nguyen.quan@g	swater.com
	tact: Tariff Unit					
	one: (415) 703-1					
En	nail: <u>water.Div</u>	ision@cpuc.ca.gov				
		DWA US	E ONLY			
DATE STAFF			COMMENTS			
			-			
						-
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[] APPROVED		[] WI	THDRAWN		[]REJ	ECTED
Signature:			omments:			
Date: _					Altana de la companya	



December 6, 2018

Advice Letter No. 1761-W

(U 133 W)

California Public Utilities Commission

Golden State Water Company ("GSWC") hereby transmits one original and three conformed copies of the following tariff sheets applicable to its water operations:

CPUC Sheet No.

Title of Sheet

CPUC Sheet No.

Original No. 8246-W

Preliminary Statements

Part GGGG

Revised No. 8247-W

Table of Contents

Revised No. 8245-W

Page 1 of 4

SUBJECT: Update Preliminary Statements to include the 2019 Interim Rates

Memorandum Account

<u>PURPOSE</u>

The purpose of this filing is to update GSWC's Preliminary Statements to include the 2019 Interim Rates Memorandum Account ("2019IRMA"). GSWC was authorized to establish the 2019IRMA to track the difference between interim rates and the final rates adopted in GSWC's General Rate Case Application No. 17-07-010, pursuant to Administrative Law Judge ("ALJ") Gerald F. Kelly's December 3, 2018 Ruling addressing GSWC's Motion For Interim Rates.

BACKGROUND

On November 16, 2018, GSWC filed a motion for interim rates relief, effective January 1, 2019, pursuant to Public Utilities Code ("Pub. Util. Code") §455.2, which provides for interim rate relief if the California Public Utilities Commission ("Commission") is unable to issue its final decision on the general rate case application of a water corporation with greater than 10,000 service connections in a manner ensuring the decision becomes effective on the first day of the test year in the application.

The tariffs contained in this advice letter are submitted as authorized by the ALJ's December 3, 2018 Ruling, which grants GSWC interim rates subject to refund. The Ruling states,

Therefore, IT IS RULED that Golden State must file with the Commission, by Tier 1 Advice Letter, a tariff implementing interim rates for its service territory and establishing a Memorandum Account to track the difference between the interim rates and the final rates adopted by the Commission in this proceeding pursuant to Pub. Util. Code §455.2 and Decision 07-05-062. The interim rates may become effective no sooner than January 1, 2019.

The current approved rates for all service areas at the end of December 2018 will become the interim rates, effective January 1, 2019, until a decision is granted in A. 17-07-010.

See Attachment A for a copy of ALJ Kelly's December 3, 2018 Ruling in its entirety.

UPDATE TO PRELIMINARY STATEMENTS

GSWC requests to update its Preliminary Statements to establish and implement the 2019IRMA, Part GGGG, which will track the difference between the interim rates and the final rates adopted by the Commission in GSWC's Application No. 17-07-010, beginning on January 1, 2019. The 2019IRMA is subject to refund.

TIER DESIGNATION

This advice letter is submitted with a Tier 1 designation. GSWC requests that the 2019IRMA be made effective on January 1, 2019.

NOTICE AND SERVICE

Distribution of this advice letter is being made to the attached service list in accordance with Water Industry Rule 4.1 of General Order No. 96-B. No other parties have requested notification of tariff filings. Since this advice letter does not increase rates, or withdraw service, no other notice is required. In accordance with Water Industry Rule 3.3 of General Order 96-B, GSWC will also post this advice letter to its website.

RESPONSE OR PROTEST

Anyone may submit a response or protest for this Advice Letter (AL). When submitting a response or protest, please include the utility name and advice letter number in the subject line.

A response supports the filing and may contain information that proves useful to the Commission in evaluating the AL. A protest objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds are:

The utility did not properly serve or give notice of the AL;

- The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies:
- The analysis, calculations, or data in the AL contain material error or omissions;
- 4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
- The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
- The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (or postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, please include the utility name and advice letter number in the subject line.

The addresses for submitting a response or protest are:

Email Address:

Mailing Address:

Water.Division@cpuc.ca.gov CA Public Utilities Commission Division of Water and Audits 505 Van Ness Avenue San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to Golden State Water Company at:

Email Address:

regulatoryaffairs@gswater.com

Mailing Address:

Golden State Water Company

Attn: Ronald Moore 630 East Foothill Blvd. San Dimas, CA 91773

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

REPLIES

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

Ronald Moore

Regulatory Affairs Department Golden State Water Company

c: Jim Boothe, CPUC - Water Division Richard Smith, CPUC- Water Branch, Cal PA Pat Ma, CPUC- Water Branch, Cal PA Service List for A.17-07-010

Attachment A



GK1/rp4 12/3/18

BEFORE THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA

In the matter of the Application of the GOLDEN STATE WATER COMPANY (U133W) for an order authorizing it to increase rates for water service by \$31,329,400 or 10.56% in 2019, to increase by \$10,770,900 or 3.28% in 2020; and increase by \$12,924,400 or 3.81% in 2021.

Application 17-07-010

ADMINISTRATIVE LAW JUDGE'S RULING GRANTING INTERIM RATES

Pursuant to Public Utilities (Pub. Util.) Code § 455.2, this ruling grants the motion of Golden State Water Company (Golden State) in which it seeks interim rate relief for the above-captioned proceeding. This ruling also grants Golden State's request for authorization to track in a memorandum account the difference between interim rates and final rates for subsequent recovery or refund, consistent with the final rates adopted by the Commission in the pending general rate case.

1. Background

On November 16, 2018, Golden State filed its motion for interim rate relief. The Rate Case Plan adopted in Decision (D.) 07-05-062, requires the applicant to file a motion for interim rate relief on or before the date for filing its opening brief, unless a different date is designated by the Presiding Officer.

On July 27, 2018, Golden State requested to delay the date for filing the motion for interim rates from July 2, 2018 to November 16, 2018. This request was granted by me, as the Presiding Officer. Golden State's motion is timely filed. There were no comments filed concerning Golden State's motion for interim rates.

2. Requirements of Pub. Util. Code § 455.2

Pub. Util. Code § 455.2 provides for interim rate relief when the Commission is unable to issue its final decision on the general rate case application of a water

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A.17-07-010 GK1/rp4

corporation with greater than 10,000 service connections in a manner ensuring the Decision becomes effective on the first day of the test year in the application. The first day of the test year for Golden State's application is January 1, 2019. Golden State contends that it has met all requirements for interim rate relief contained in § 455.2 (b) which provides:

- (b) If the commission's decision is not effective in accordance with subdivision (a) the applicant may file a tariff implementing interim rates that may be increased by an amount equal to the rate of inflation as compared to existing rates. The interim rates shall be effective on the first day of the first test year in the general rate case application. These interim rates shall be subject to refund and shall be adjusted upward or downward back to the interim rate effective date, consistent with the final rates adopted by the Commission.
- 3. Requirements of Rulemaking (R.) 06-12-016 to Consider Revisions to the General Rate Case Plan For Class A Water Companies

D.07-05-062 was issued on May 24, 2007 and adopted several changes to the Rate Case Plan (RCP) for Class A water utilities. As it relates to interim rate relief requests, D. 07-05-052 set forth the following requirements:

- The utility must file a motion for interim rate relief on or before the date for filing its opening brief, unless a different date is designated by the Presiding Officer;
- 2) The motion shall address the degree, if any, that the utility was responsible for delay during the proceeding;
- The requested rate modification shall not exceed the rate of inflation;
- 4) The motion shall request a proposed effective date for the interim rate relief; and
- 5) The motion shall request the establishment of a memorandum account to track the difference between the interim rates and the final rates.

In response to the motion for interim rates, the Presiding Officer will issue a ruling. The ruling will determine the following:

- 1) Whether the utility was responsible for the delay in implementing rates:
- 2) Determine if the requested rates are appropriate for submitting to the Commission via an advice letter;
- 3) Set forth a specific effective date for the interim rates; and
- 4) Direct the utility to request the establishment of a memorandum account with the advice letter filing that implements the interim rates.

4. Request

Golden State requests authority, pursuant to Pub. Util. Code § 455.2 and D.07-0-062, to implement interim rates effective January 1, 2019 and to establish a memorandum account in which to track the difference between the interim rates authorized herein and the final rates authorized by the Commission in its decision.

Golden State asserts that any delay is not due to actions on its part and therefore the rate case plan requires the Commission to grant interim rate relief pursuant to § 455.2. In particular, Golden State notes that the Commission will be unable to issue a final decision before January 1, 2019.

In its motion Great Oaks states that it proposes to extend its current tariff rates equal to existing rates in all ratemaking areas.

5. Discussion

As to interim rate relief being in the public interest, the Commission recognizes that utilities should not be financially harmed, or ratepayers allowed to gain from deferred rate increases caused by delays in processing general rate cases. Here, if necessary, Golden State requests to extend its current tariff rates beyond January 1, 2019. Golden State notes that as a result of the Settlement Agreement, rates may decrease in some areas and increase in others. Therefore, they contend that it is in the best interest of the public to grant the motion for interim rates. Additionally, Golden State does not contend that it will be financially harmed or that ratepayers will be allowed to benefit from any deferred rate increase.

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Any delay in processing of the current proceeding has not been caused by actions of any of the parties. All parties to this proceeding worked to maintain the ambitious proceeding schedule required in the Rate Case Plan. However, there were over 100 exhibits in this proceeding, which the Commission needs to evaluate before a final decision can be issued.

It is unlikely that a Commission decision will be issued prior

January 1, 2019, which is the first day of the test year for Golden State. Accordingly,

Golden State filed this motion seeking interim rates. As noted above, Golden State does

not believe that it is in the best interest of the public to institute an increase equal to the

rate of inflation followed by another potential increase or decrease when the Commission

issues the decision in this matter.

Since a Commission decision is expected shortly after the beginning of the test year, it seems appropriate for Golden State to maintain its current rates until a final decision is issued by the Commission. As noted by Golden State, as a result of the Settlement Agreement, there may be certain decreases in some ratemaking areas and increases in others. Therefore, it is in the interest of the public to grant the motion for interim rates maintaining current rates until the Commission issues a final decision in this proceeding.

The criteria for granting interim rate relief set forth in Pub. Util. Code § 455.2 has been met and no party has protested Golden State's motion for interim rate relief. For these reasons, Golden State's motion to extend current tariff rates beyond January 1, 2019, is granted.

A.17-07-010 GK1/rp4

Therefore, IT IS RULED that Golden State must file with the Commission, by Tier 1 Advice Letter, a tariff implementing interim rates for its service territory and establishing a Memorandum Account to track the difference between the interim rates and the final rates adopted by the Commission in this proceeding pursuant to Pub. Util. Code §455.2 and Decision 07-05-062. The interim rates may become effective no sooner than January 1, 2019.

Dated December 3, 2018, at San Francisco, California.

/s/ GERALD F. KELLY
Gerald F. Kelly
Administrative Law Judge

Advice Letter 1761-W Tariffs

GOLDEN STATE WATER COMPANY (U 133 W) 630 E. FOOTHILL BLVD. - P.O. BOX 9016 SAN DIMAS, CALIFORNIA 91773-9016

Original Cal. P.U.C. Sheet No. 8246-W

Cancelling

Cal. P.U.C. Sheet No. NEW

<u>PRELIMI</u>	NAR'	Y STAT	EMENTS

(Continued)

GGGG 2019 INTERIM RATES MEMORANDUM ACCOUNT

(N)

1. Purpose

The 2019 Interim Rates Memorandum Account ("2019IRMA") will track the revenue differential between interim rates and the final rates, subject to refund, adopted in GSWC's General Rate Case (GRC), Application No. 17-07-010. The 2019IRMA is established pursuant to Administrative Law Judge Gerald F. Kelly's December 3, 2018 Ruling addressing GSWC's Motion for Interim Rates.

On January 1, 2019, the first test year of the GRC, this tariff shall activate interim rates in all rate-making areas. The interim rates will be the current rates (in effect at the end of December 2018) carried over into 2019.

In the event during the interim rate period a rate increase is authorized before adoption of the final rates that is not included in the final adopted rates, the 2019IRMA shall not reflect this rate increase.

2. Applicability

The 2019IRMA does not have a rate component.

The 2019IRMA shall include:

The monthly revenue differential between interim rates and final rates adopted in A. 17-07-010, beginning on January 1, 2019 in all GSWC ratemaking areas.

GSWC shall maintain the 2019IRMA by making entries at the end of each month as follows:

- A debit /credit entry shall be made to the 2019IRMA at the end of each month to record the revenue differential discussed above.
- Interest shall accrue to the 2019IRMA on a monthly basis by applying a rate equal to one-twelfth of the 3-month Commercial Paper Rate, as reported in the Federal Reserve Statistical Release, to the average of the beginning-of-month and the end-of-month balances.

3. Effective Date

The 2019IRMA shall go into effect on January 1, 2019.

4. Disposition

Disposition of amounts recorded in the 2019IRMA shall be determined in a subsequent Regulatory proceeding, as authorized by the Commission.

(N)

(To be inserted by utility)	Issued By	(To be inserted by P.U.C.)
Advice Letter No. 1761-W	R. J. Sprowls	Date Filed 12/06/2018	
Decision No.	President	Effective 01/01/2019	
		Resolution No.	

GOLDEN STATE WATER COMPANY (U 133 W)

Revised

Cal. P.U.C. Sheet No. 8247-W

630 E. FOOTHILL BLVD. ~ P.O. BOX 9016 SAN DIMAS, CALIFORNIA 91773-9016

Cancelling Revised

Cal. P.U.C. Sheet No. 8245-W

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Advice Letter No.	1761-W_	R. J. Sprowls	Date Filed	12/06/2018
Decision No.		President	Effective	01/01/2019
			Resolution No.	

Sacramento Suburban Water Dist. 3701 Marconi Avenue – Suite 100 Sacramento, CA 95821 rroscoe@sswd.org

Carmichael Water District 7837 Fair Oaks Blvd. Carmichael, CA 95608-2405

Cypress Ridge Owner's Association Attn: President 1400 Madonna Road San Luis Obisbo, CA 93405 Corv.Bauer@managementtrust.com

City of Folsom 50 Natoma Street Folsom, CA 95630

Director
Sacramento County Water Agency
827-7th Street, Room 301
Sacramento, CA 95814
DWRexecsecretary@saccounty.net

Bay Point Municipal Advisory Council 3105 Willow Pass Road Bay Point, CA 94565-3149

Contra Costa Water District P. O. Box H2O Concord, CA 94520

Diablo Water District
P. O. Box 127
Raley's Shopping Center – 2107 Main Street
Oakley, CA 94561-0127
Mikegm1@aol.com
cbelleci@diablowater.org

City of Martinez 525 Henrietta Avenue Martinez, CA 94553 Citrus Heights Water District 6230 Sylvan Road Citrus Heights, CA 95610

California-American Water Co. 4701 Beloit Drive Sacramento, CA 95838-2434 Dave.stephenson@amwater.com ca.rates@amwater.com

Fair Oaks Water District 10317 Fairoaks Blvd. Fairoaks, CA 95628

Orange Vale Water Co
P. O. Box 620800
9031 Central Avenue
Orange Vale, CA 95662
swilcox@orangevalewater.com

City of Antioch P. O. Box 5007 Antioch, CA 94531

City of Brentwood Public Works Operations Eric Brennen, Water Operations Manager 2201 Elkins Way Brentwood, CA 94513

Contra Costa County
Chief Assistant Clerk of the Board
651 Pine Street, Room 106
Martinez, CA 94553
Jami.napier@cob.cccounty.us

Richard Lou, Principal Management Analyst East Bay Municipal Utility District 375 – 11th Street, MS#804
Oakland, CA 94607
Rlou@ebmud.com

Highlands Water Company 14580 Lakeshore Drive Clearlake, CA 95422-8100

Konocti County Water District 15844 – 35th Street Clearlake, CA 95422 kcwd@mchsi.com

Arroyo Grande Municipal Water Dept. P.O. Box 550 Arroyo Grande, CA 93420 staylor@arroyogrande.org

Cambria Community Services Dist. 1316 Tarnson Drive – Suite 201 P.O. Box 65 Cambria, CA 93428

Los Osos CSD 2122 - 9th Street Los Osos, CA 93402

S & T Mutual Water Co. P.O. Box 6391 Los Osos, CA 93412 STMutualwater@gmail.com

Nipomo Community Services Dist. 147 S. Wilson Street Nipomo, CA 93444-0326 Mlglesias@ncsd.ca.gov

City of Santa Maria 2065 East Main Street Santa Maria, CA 93454 SSpringer@CityofSantaMaria.org

City Attorney City of Clearlake 14050 Olympic Drive Clearlake, CA 95422

City Clerk & City Attorney City of Guadalupe 918 Obispo Street Guadalupe, CA 93434

City Clerk & City Attorney City of Simi Valley 2929 Tapo Canyon Road Simi Valley, CA 93065 Local Agency Formation Commission P. O. Box 2694 Granite Bay, CA 95746 johnbenoit@surewest.net

Avila Beach Community Service District P O Box 309 191 San Miguel Street Avila Beach, CA 93424 avilacsd@gmail.com

Community Services District P. O. Box 6064 Los Osos, CA 93412 mfalkner@losososcds.org

Morro Bay City Water (City Hall) 595 Harbor Blvd. Morro Bay, CA 93442 rlivick@morrobayca.gov

San Luis Obispo City Water 879 Morro Street San Luis Obispo, CA 93403

Charles Baker, President Rural Water Company P.O. Box 745 Grover Beach, CA 93483 ruralwater@me.com

Calleguas Municipal Water District 2100 Olsen Road Thousand Oaks, CA 91360 staylor@calleguas.com

City Clerk
City of Clearlake
14050 Olympic Drive
Clearlake, CA 95422
mswanson@clearlake.ca.us

City Attorney & City Clerk
City of Santa Maria
110 East Cook Street
Santa Maria, CA 93454
marietz @ci.santa-maria.ca.us
gtruillo@ci.santa-maria.ca.us

County Clerk County of Sacramento 720 9th Street Sacramento, CA 95814

County Clerk
County of San Luis Obispo
1055 Monterey Street - #D-120
San Luis Obispo, CA 93408

County Counsel
County of San Luis Obispo
County Government Center - #D-320
San Luis Obispo, CA 93408

County Counsel
105 East Anapamu Street, Rm. 201
Santa Barbara, CA 93101

LAFCO 1042 Pacific Street, Suite A San Luis Obispo, CA 93401 dbloyd@slolafco.com

City of Bellflower Water Department 16600 Civic Center Drive Bellflower, CA 90706 RStover@bellflower.org

City of Downey Director of Public Works P. O. Box 90241-7016 Downey, CA 90241

City of Huntington Park Water Department 6550 Miles Street Huntington Park, CA 90255

City of Lakewood Water Department P.O. Box 220 Lakewood, CA 90714-0220

Honorable Mayor Eric Garcetti City of Los Angeles 200 N. Spring Street – Room 303 Los Angeles, CA 90012

City of Norwalk Water Department 12700 Norwalk Blvd. – Room #5 Norwalk, CA 90650 Department of Water Resources Steve Pedretti, Division Chief 827 7th Street, Room 301 Sacramento, CA 95814 DWRexecsecretary@saccounty.net

County Counsel & County Clerk County of Contra Costa P.O. Box 69 Martinez, CA 94553

John Famkopf, Senior Vice President HILTON FARNKOPF & HOBSON, LLC 2175 N. California Blvd – Suite 990 Walnut Creek, CA 94596 ifamkopf@hfh-consultants.com

Santa Barbara LAFCO 105 E. Anapamu - Room 406 Santa Barbara, CA 93101 lafco@sblafco.org

City of Cerritos
Water Department
P.O. Box 3130
Cerritos, CA 90703
cemiq@cerritos.us

City of Hawthorne 4455 W. 126th Street Hawthorne, CA 90250

City of Inglewood
One Manchester Blvd. - Suite 900
P. O. Box 6500
Inglewood, CA 90301

Long Beach Water Department Chris Garner, General Manager 1800 E. Wardlow Road Long Beach, CA 90807

City of Los Angeles
Department of Water & Power
P O Box 51111
Los Angeles, CA 90051-0100

City of Paramount
Water Department
16420 Colorado Street
Paramount, CA 90723
sho@paramountcity.com

City of Santa Fe Springs Water Department 11736 E. Telegraph Road Santa Fe Springs, CA 90670

City of Torrance
Water Department
3031 Torrance Blvd.
Torrance, CA 90503
cschaich@TorranceCA.gov

California Water Service Co. 2632 West 237th Street Torrance, CA 90505-5272 mduque@calwater.com

Liberty Utilities 9750 Washburn Road Downey, CA 90241 Diana.lemoli@LibertyUtilities.com

Maywood Mutual Water - No. 2 3521 East Slauson Street Maywood, CA 90270

Orchard Dale County
Water District
13819 East Telegraph Road
Whittier, CA 90604
rsilvet@odwd.org
scaslaneda@odwd.org
Miskev@odwd.org

San Gabriel Valley Water Co. 11142 Garvey Avenue El Monte, CA 91733 dadellosa@sgvwater.com

Tract 180 - Mutual Water Co. 4544 E. Florence Avenue Cudahy, CA 90201 Tract180@hotmail.com

Central Basin MWD General Manager 6252 Telegraph Road Commerce, CA 90040-2512 City of South Gate
Water Department
8650 California Street
South Gate, CA 90280
rdickey@sogate.org
dtorres@sogate.org

Jeff Collier, City Manager City of Whittier 13230 Penn Street Whittier, CA 90602 Jcollier@cityofwhittier.org

California Water Service
Rancho Dominguez District
2632 West 237th Street
Torrance, CA 90505-5272
hwind@calwater.com

Maywood Mutual Water - No. 1 5953 Gifford Street Huntington Park, CA 90255 MaywoodWater1@aol.com

Maywood Mutual Water - No. 3 6151 Heliotrope Avenue Maywood, CA 90270-3418

Pico County Water District P. O. Box 758 Pico Rivera, CA 90660-0768

Robert Kelly, VP of Regulatory Affairs Suburban Water Systems 1325 N. Grand Avenue, Suite 100 Covina, CA 91724-4044

Water Replenishment District General Manager 4040 Paramount Blvd. Lakewood, CA 90712-4127 rwhitaker@wrd.org

West Basin MWD
Venerri Santos – Sr. Executive Asst.
17140 S. Avalon Blvd. – Suite 210
Carson, CA 90746-1296
VenneriS@WestBasin.org

Gloria Molina – 1st District L.A. County Board of Supervisors 856 Kenneth Hahn Hall of Admin 500 West Temple Street Los Angeles, CA 90012

City Attorney & City Clerk City of Artesia 18747 Clarksdale Avenue Artesia, CA 90701

City Manager
City of Bell Gardens
7100 S. Garfield Avenue
Bell Gardens, CA 90201
ssimonian@ellgardens.org

City Manager
City of Bell Gardens
7100 S. Garfield Avenue
Bell Gardens, CA 90201
joropeza@bellgardens.org

City Attorney & City Clerk City of Cerritos P.O. Box 3130 Cerritos, CA 90703

City Manager & City Clerk
City of Cudahy
5250 Santa Ana Street
Cudahy, CA 90201
jpulido@cityofcudahyca.gov

City Attorney & City Clerk
City of Downey
11111 Brookshire Avenue
Downey, CA 90241
cityclerk@downeyca.gov

City Clerk
City of Gardena
1700 W. 162nd Street
Gardena, CA 90247
cityclerk@ci.gardena.ca.us

Mark Ridley-Thomas – 2nd District
L. A. County Board of Supervisors
Room 866 - Hall of Administration
500 West Temple Street
Los Angeles, CA 90012
Markridley-thomas@bos.lacounty.gov
kkatona@bos.lacounty.gov

City Attorney, Clerk & Manager
City of Bell
6330 Pine Avenue
Bell, CA 90201
Daleshire @awattomeys.com - Dale Aleshire
ABustamonte @ CityofBell.org - City Clerk
Gromo@cityofbell.org - Acting City Mgr. - Gus Romo

City Manager
City of Bell Gardens
7100 S. Garfield Avenue
Bell Gardens, CA 90201
pwagner@bellgardens.org

City Attorney & City Clerk City of Carson 701 E. Carson Street Carson, CA 90745

City Attorney & City Clerk
City of Compton
205 W. Willowbrook Avenue
Compton, CA 90220
ccornwell@comptoncity.org

City Attorney & City Clerk
City of Culver City
9770 Culver Blvd.
Culver City, CA 90230
City.clerk@culvercity.org
City.attorney@culvercity.org

City Attorney & City Clerk City of El Segundo 350 Main Street El Segundo, CA 90245

City Attorney & City Clerk
City of Hawailan Gardens
21815 Pioneer Blvd.
Hawaiian Gardens, CA 90716

City Attorney & City Clerk
City of Hawthorne
4450 W. 126th Street
Hawthorne, CA 90250
cityclerk@cityofhawthorne,org

City Attorney & City Clerk City of Inglewood One Manchester Blvd. P O Box 6500 Inglewood, CA 90301

City Attorney & City Clerk
City of La Mirada
13700 La Mirada Blvd.
La Mirada, CA 90638
AHaraksin@CityofLaMirada.org

City Clerk
City of Long Beach
333 Ocean Boulevard
Long Beach, CA 90802
cityclerk@longbeach.gov

City Attorney & City Clerk
City of Paramount
16400 S. Colorado Avenue
Paramount, CA 90723
JCavanaugh@Cavanaughlaw.net

City Attorney & City Clerk City of South Gate 8650 California Avenue South Gate, CA 90280

County Clerk
County of Orange
12 Civic Center Plaza
Santa Ana, CA 92702

County of LA Waterworks Dist. 23533 West Civic Center Way Malibu, CA 90265 Attn: Mark Carney drydman@dpw.lacounty.gov

Director of Public Services Town of Apple Valley 14955 Dale Evans Parkway Apple Valley, CA 92307 City Clerk
Clty of Huntington Park
6550 Miles Avenue
Huntington Park, CA 90255

City Clerk
City of Lakewood
5050 N. Clark Avenue
Lakewood, CA 90714
CityClerk@LakewoodCity.org

City Attorney & City Clerk
City of Lawndale
14717 Burin Avenue
Lawndale, CA 90260
tvickrey@awattorneys.com

City Attorney & City Clerk City of Norwalk 12700 Norwalk Blvd. Norwalk, CA 90650

City Attorney & City Clerk
City of Santa Fe Springs
11710 E. Telegraph Road
Santa Fe Springs, CA 90670
janetmartinez@santafesprings.org

County Clerk County of Los Angeles 12400 Imperial Highway Norwalk, CA 90650

County Counsel City of Orange 333 W. Santa Ana Blvd., 4th Floor Santa Ana, CA 92701

Apple Valley Ranchos Water Co. Tony Penna -- General Manager 21760 Ottawa Road P. O. Box 7005 Apple Valley, CA 92308 tpenna@avrwater.com

Barlen Mutual Water
P. O. Box 77
Barstow, CA 92311
barlenwater@hotmail.com

California Department of Corrections
P. O. Box 5001
7018 Blair Road
Calipatria, CA 92233

California Dept. of Forestry Hdqtrs 3800 N. Sierra Way San Bernardino, CA 92405

Daggett Community Service P.O. Box 308 Daggett, CA 92327

East Pasadena Water Co. 3725 Mountain View Avenue Pasadena, CA 91107 Larry@epwater.com

Jurg Heuberger, CEP, Executive Officer LAFCO
1122 W. State Street, Suite D
El Centro, CA 92243-2840

Local Agency Formation Commission 215 North D Street – Suite 204 San Bernardino, CA 92415-0490 lafco@lafco.sbcounty.gov

Morongo Valley Community Service Distribution P.O. Box 46 Morongo Valley, CA 92256

Rancheritos Water Co. P. O. Box 348 Apple Valley, CA 92307 RMWC1954@gmail.com

Seeley County Water District P. O. Box 161 Seeley, CA 92273

Sheep Creek Water Company P. O. Box 291820 Phelan, CA 92329-1820 Attn: Chris Cummings sheepcreek@verizon.net California Dept. of Forestry 7105 Airway Drive Yucca Valley, CA 92284

County Water
P. O. Box 5001
Victorville, CA 92393-5001

East Orange County Water 185 N. McPherson Road Orange, CA 92869-3720 lohlund@eocwd.com eoch20@eocwd.com

Raymond Castillo, Chairman County Administration Center 940 W. Main Street - #212 El Centro, CA 92243-2871

Juniper Riviera CWD P.O. Box 386 Apple Valley, CA 92307 ircwd@basicisp.net

Mariana Ranchos County Water District 9600 Manzanita Street Apple Valley, CA 92308 MarianaCWD@mrcwd.org

Navajo Mutual Water Company P. O. Box 392 Apple Valley, CA 92307 Gmnmwc@gmail.com

San Gabriel County Water Co. 8366 Grand Avenue Rosemead, CA 91770 Barbara@sqcwd.com

Serrano Water Dist. - Villa Park 18021 East Lincoln Street Villa Park, CA 92667

Sunny Slope Water Co.
1040 El Campo Drive
Pasadena, CA 91107-5506
Ken@SunnySlopeWaterCompany.com
Karen@SunnySlopeWaterCompany.com

Twentynine Palms Water District 72401 Hatch Road P.O. Box 1735 Twentynine Palms, CA 92277 RKolisz@29PalmsWater.org

Walnut Valley Water District 271 S. Brea Canyon Road Walnut, CA 91789

Water Issues Committee
Wrightwood Property Owners Assoc.
P.O. Box 487
Wrightwood, CA 92397

City of Arcadia Water Co. P. O. Box 60021 240 W. Huntington Drive Arcadia, CA 91066-6021 ttait@ci.arcadia.ca.us

City of Brawley Water Co. 400 Main Street Brawley, CA 92227 Rramirez@brawley-ca.gov

City of Buena Park 6650 Beach Boulevard Buena Park, CA 90620 Foutierrez@BuenaPark.com

City of Covina
125 East College Street
Covina, CA 91723-2199
SFoster@Covinaca.gov

City Attorney
City of El Monte
11333 Valley Blvd.
El Monte, CA 91732
cmoseley@elmonte.ca.gov

City of Garden Grove
13802 Newhope Street
Garden Grove, CA 92643
Zackb@ci.garden-grove.ca.us

Heber Public Utility District P. O. Box H Heber, CA 92249 Victor Valley Water District 14343 Civic Drive P O Box 5001 Victorville, CA 92392

Westmorland Water Company P.O. Box 698 Westmorland, CA 92281

City of Anaheim City Clerk's Office 200 S. Anaheim Blvd. – Suite 217 Anaheim, CA 92805

City of Alhambra Utilities Dept. 111 S. First Avenue Alhambra, CA 91801

City of Brea Water Department #1 Civic Center Drive Brea, CA 92621 rudyC@citvofbrea.net

City of Calexico Water Co. 608 Heber Avenue Calexico, CA 92231

City of El Centro Water Co. 307 W. Brighton Avenue El Centro, CA 92243

City of Fullerton Water
Fullerton Water Department
303 W. Commonwealth Avenue
Fullerton, CA 92631
HyeJinL@cityoffullerton.com

City of Glendora 116 East Foothill Blvd. Glendora, CA 91740

City of Hesperia Water Department 9700 Seventh Avenue Hesperia, CA 92345 iwvman@cityofhesperia.us

City of Imperial Water Department 420 S. Imperial Avenue Imperial, CA 92251

City of La Verne Water Department 3660 "D" Street La Verne, CA 91750 lestrella@ci.la-verne.ca.us

City of Monterey Park Water Co. 320 W. Newmark Avenue Monterey Park, CA 91754

City of Monrovia Water Company 415 S. Ivy Avenue Monrovia, CA 91016

City of Santa Ana Water 20 Civic Center Plaza Santa Ana, CA 92702 ryhemandez@santa-ana.org

City of Seal Beach 211 8th Street Seal Beach, CA 90740

City of West Covina Water Department 825 S. Sunset Avenue West Covina, CA 91790

Steve Conklin, Acting General Manager Yorba Linda Water District 1717 E. Miraloma Avenue Placentia, CA 92870 Sconklin@ylwd.com

City Attorney
City of Barstow
222 E. Mountain View Street
Barstow, CA 92311

City Attorney
City of Claremont
P. O. Box 880
Claremont, CA 91711

City of La Palma 7822 Walker Street La Palma, CA 90623 Attn: Jeff Moneda, PW Director

Monte Vista Water District 10575 Central Avenue Montclair, CA 91763

City Attorney
City of Monterey Park
2600 W. Olive Avenue, Suite 500
Burbank, CA 91505
Kberger@hensleylawgroup.com

City of Orange
Water Department
189 South Water Street
Orange, CA 92866
idefrancesco@cityoforange.org

City of San Dimas 245 E. Bonita Avenue San Dimas, CA 91773

City of Upland Water Department 460 N. Euclid Street Upland, CA 91786 kwatson@ci.upland.ca.us

City of Westminster 8200 Westminster Blvd. Westminster, CA 92683 smiller@westminster-ca.qov

City Attorney
City of Arcadia
240 West Huntington Drive
Arcadia, CA 91006
cityattomeyoeneralmailbox@ci.arcadia.ca.us

City Attorney
Oswalt & Associates
Mr. William (Bill) Smerdon
P O Box 607
Imperial, CA 92251

City Attorney
City of Covina
125 E. College Street
Covina, CA 91723

City Attorney
City of Cypress
5275 Orange Avenue
Cypress, CA 90630

City of El Monte 11333 Valley Blvd. El Monte, CA 91731 imussenden@ci.el-monte.ca.us

City Attorney
City of La Palma
7822 Walker Street
La Palma, CA 90680
ikuperberg@rutan.com

Administrative Services Director City of Los Alamitos
3191 Katella Avenue
Los Alamitos, CA 90720
MValdez@cityoflosalamitos.org

City Attorney
City of Montclair
5111 Benito Avenue
Montclair, CA 91763
Der_robbinsholdaway@yerizon.net

City Attorney
City of Orange
300 E. Chapman Avenue
Orange, CA 92666
wwinthers@cityoforange.org

City of Pomona 505 S. Garey Avenue Pomona, CA 91766 Rozaluia_Outley@ci.pomona.ca.us

City Attorney, Bonifacio Garcia City of Rosemead 8838 E. Valley Blvd. Rosemead, CA 91770

City Attorney
City of San Gabriel
425 S. Mission Drive
San Gabriel, CA 91776

City Attorney
City of Duarte
1600 Huntington Drive
Duarte, CA 91010
imelching@rutan.com

City Attorney
City of Irwindale
5050 N. Irwindale Avenue
Irwindale, CA 91706

City Attorney City of La Verne 3660 'D' Street La Verne, CA 91750

City Attorney
City of Monrovia
415 South Ivy Avenue
Monrovia, CA 91016
csteele@rwqlaw.com

City Attorney, Karl H. Berger City of Monterey Park 2600 W. Olive Avenue, Suite 500 Burbank, CA 91505 kberger@henslevlawgroup.com

City Attorney
City of Placentia
401 E. Chapman Avenue
Placentia, CA 92870

Water/Wastewater Operations Manager City of Pomona 505 S. Garey Avenue Pomona, CA 91766 Darron_Poulsen@ci.pomona.ca.us

Assistant City Manager City of San Dimas 245 E. Bonita Avenue San Dimas, CA 91773 kduran@ci.san-dimas.ca.us

City Attorney
City of Seal Beach
211 8th Street
Seal Beach, CA 90740
abarrow@rwqlaw.com

City Attorney
City of Stanton
7800 Katella Avenue
Stanton, CA 90680

City Manager City of Yorba Linda 4845 Casa Loma Avenue Yorba Linda, CA 92886-3364

City Clerk
City of Barstow
222 E. Mountain View St – Suite A
Barstow, CA 92311

Community Services Director City of Claremont 1616 Monte Vista Avenue Claremont, CA 91711

City Clerk
City of Cypress
5275 Orange Avenue
Cypress, CA 90630
dbasham@ci.cypress.ca.us

City Clerk City of El Monte 11333 Valley Blvd. El Monte, CA 91731

City Clerk

City of La Palma

7822 Walker Street

La Palma, CA 90680

City Clerk
City of Los Alamitos
3191 Katella Avenue
Los Alamitos, CA 90720
WQintanar@cityoflosalamitos.org

City Clerk
City of Montclair
5111 Benito Avenue
Montclair, CA 91763
aphillips @cityofmontclair.org

City Clerk
City of Placentia
401 E. Chapman Avenue
Placentia, CA 92870

City Attorney City of Temple City 9701 Las Tunas Drive Temple City, CA 91780

City Council
City of Calipatria
125 North Park Avenue
Calipatria, CA 92233

City Clerk
City of Claremont
P.O. Box 880
Claremont, CA 91711
sdesautels@ci.claremont.ca.us

City Clerk

City of Covina

125 E. College Street
Covina, CA 91723

Deputy City Clerk
City of Duarte
1600 Huntington Drive
Duarte, CA 91010
herrerakaren@accessduarte.com

City Clerk
City of Irwindale
5050 N. Irwindale Avenue
Irwindale, CA 91706
LindaK@ci.irwindale.ca.us

City Clerk
City of La Verne
3660 'D' Street
La Verne, CA 91750

City Clerk City of Monrovia 415 S. Ivy Avenue Monrovia, CA 91016

City Clerk
City of Orange
300 E. Chapman Avenue
Chapman, CA 92666

City Clerk. Marc Donohue, MMC City of Rosemead 8838 Valley Blvd.
Rosemead, CA 91770 mdonohue@cityofrosemead.org

City Clerk's Department City of San Gabriel 425 S. Mission Drive San Gabriel, CA 91776 CityClerk@sgch.org

City Clerk, Patricia Vazquez City of Stanton 7800 Katella Avenue Stanton, CA 90680 pvazquez@ci.stanton.ca.us

County Counsel
County of San Bernardino
385 N. Arrowhead Avenue – 4th Floor
San Bernardino, CA 92415-0140

Chamber President Niland Chamber of Commerce P. O. Box 97 Niland, CA 92257

County Counsel
County of Los Angeles
500 W. Temple Street – 5th Floor
Los Angeles, CA 90012

Scott Blaising
Braun Blaising McLaughlin & Smith PC
915 L Street, Suite 1270
Sacramento, CA 95814
blaising@braunlegal.com

Naval Facilities Engineering Command Rea D. Estrella Southwest Division 1220 Pacific Highway San Diego, CA 92132 Rea.estrella@navy.mil City Clerk
City of Seal Beach
211 8th Street
Seal Beach, CA 90740
PGallegos @ seal beach ca.gov
TKelsey @ seal beach ca.gov

City Manager City of Temple City 9701 Las Tunas Drive Temple City, CA 91780

City Clerk
City of Yorba Linda
4845 Casa Loma Avenue
Yorba Linda, CA 92686

County of San Bernardino Water & Sanitation Area P.O. Box 5004 Victorville. CA 92393-5004

Supervisor District 5 County of Contra Costa P.O. Box 69 Martinez, CA 94553

Fred G. Yanney Yanney Law Office 17409 Marquardt Ave., Unit C-4 Cerritos, CA 90703 FredYanney@gmail.com

Megan Somogyi Goodin, MacBride, Squeri & Day, LLP 505 Sansome Street, Suite 900 San Francisco, CA 94111 MSomogy@goodinmacbride.com