

## PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



October 4, 2019

Ronald K. Moore  
Senior Regulatory Analyst  
Golden State Water Company  
630 East Foothill Blvd.  
San Dimas, CA 91773

Dear Mr. Moore,

The Commission has approved Golden State Water Company's Advice Letter No. 1786, filed on August 28, 2019, regarding 2019 Interim Rate True-Up Request for Santa Maria Customer Service Area.

Enclosed are copies of the following revised tariff sheets for the utility's files:

<b>P.U.C.</b>	
<b>Sheet No.</b>	<b>Title of Sheet</b>
8437-W	Schedule No. SM-1-NR, Santa Maria Customer Service Area Non-Residential Metered Service, Page 4
8438-W	Schedule No. SM-1-R, Santa Maria Customer Service Area Residential Metered Service, Page 3
8439-W	Schedule No. SM-3ML, Santa Maria Customer Service Area Limited Metered Irrigation Service, Page 3
8440-W	Table of Contents, Page 3 of 4
8441-W	Table of Contents, Page 1 of 4

Please contact Jeremy Ho at 415-703-1905, if you have any questions.

Thank you,

/s/ROBIN BRYANT

Robin Bryant  
Water & Sewer Advisory Branch  
Water Division

Enclosures

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS**

**Advice Letter Cover Sheet**

<b>Utility Name:</b> GOLDEN STATE WATER COMPANY	<b>Date Mailed to Service List:</b> 8/28/19
<b>District:</b> SANTA MARIA	
<b>CPUC Utility #:</b> 133 W	<b>Protest Deadline (20<sup>th</sup> Day):</b> 9/17/2019
<b>Advice Letter #:</b> 1786-W	<b>Review Deadline (30<sup>th</sup> Day):</b> 9/27/2019
<b>Tier</b> <input type="checkbox"/> 1 <input checked="" type="checkbox"/> 2 <input type="checkbox"/> 3 <input checked="" type="checkbox"/> Compliance	<b>Requested Effective Date:</b> 9/27/2019
<b>Authorization</b> D.19-05-044	<b>Rate Impact:</b> \$414,725 or 3.1%
<b>Description:</b> 2019 INTERIM RATE TRUE-UP REQUEST SANTA MARIA CUSTOMER SERVICE AREA	

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

**Utility Contact:** Ronald Moore  
**Phone:** (909) 394-3600 x 682  
**Email:** rkmoore@gswater.com

**Utility Contact:** Nguyen Quan  
**Phone:** (909) 394-3600 x 664  
**Email:** nquan@gswater.com

**DWA Contact:** Tariff Unit  
**Phone:** (415) 703-1133  
**Email:** [Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**DWA USE ONLY**

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____

APPROVED

WITHDRAWN

REJECTED

**Signature:** \_\_\_\_\_

**Comments:** \_\_\_\_\_

**Date:** \_\_\_\_\_

\_\_\_\_\_



August 28, 2019

Advice Letter No. 1786-W

(U 133 W)

## California Public Utilities Commission

Golden State Water Company ("GSWC") hereby transmits one original and three conformed copies of the following tariff sheets applicable to its Santa Maria Customer Service Area ("CSA"):

<u>CPUC Sheet No.</u>	<u>Title of Sheet</u>	<u>Canceling CPUC Sheet No.</u>
Revised No. 8437-W	Schedule No. SM-1-NR Santa Maria Customer Service Area Non-Residential Metered Service, Page 4	Original No. 8383-W
Revised No. 8438-W	Schedule No. SM-1-R Santa Maria Customer Service Area Residential Metered Service, Page 3	Revised No. 8384-W
Revised No. 8439-W	Schedule No. SM-3ML Santa Maria Customer Service Area Limited Metered Irrigation Service, Page 3	Original No. 8385-W
Revised No. 8440-W	Table of Contents Page 3 of 4	Revised No. 8398-W
Revised No. 8441-W	Table of Contents Page 1 of 4	Revised No. 8436-W

**Subject: 2019 Interim Rate Revenue Shortfall True-up - Santa Maria**

### **PURPOSE**

GSWC is seeking authorization to implement a temporary surcharge in its Santa Maria CSA to recover the revenue shortfall caused by the variance between interim rates (which were in place since January 1, 2019) and final rates implemented on June 8, 2019, via Advice Letter No. 1771-W. The final rates in Santa Maria were adopted in California

Public Utilities Commission's ("Commission") Decision No. ("D.") 19-05-044, dated May 30, 2019, for GSWC's General Rate Case Application No. 17-07-010.

Ordering Paragraph No. 40 in D. 19-05-044 authorizes GSWC to file the above referenced tariff sheets. Specifically, Ordering Paragraph No. 40 states,

*Golden State Water Company shall file a tier 2 Advice Letter for the disposition of the balance accumulated in the Interim Rates Memorandum Account within 90 days of the date of adoption of this decision.*

GSWC has calculated the difference between the interim rates and final rates for the period of January 1, 2019 through June 7, 2019. As shown in the supporting workpapers, the total revenue shortfall in the Santa Maria CSA is \$414,725.

**TEMPORARY SURCHARGE**

In compliance with Ordering Paragraph No. 40, GSWC is requesting to recover the revenue shortfall over a 12-month period. All metered water sales under Rate Schedule Nos. SM-1-R, SM-1-NR, and SM-3ML will be impacted as follows:

Temporary Surcharge of \$0.145/Ccf for a 12-month period.

Supporting workpapers detailing the rate design calculations for the amortization have been provided to the Water Division.

**COMPLIANCE**

This advice letter reflects GSWC's full compliance with the directives in the Ordering Paragraph No. 40 from D. 19-05-044.

**TIER DESIGNATION**

Pursuant to D. 19-05-044, this advice letter is submitted with a Tier 2 designation.

**EFFECTIVE DATE**

GSWC is requesting that this filing become effective on September 27, 2019.

**CUSTOMER NOTICE**

GSWC will include a bill message on the customer's first bill after the increase goes into effect to inform its Santa Maria customers of this compliance rate change.

**RESPONSE OR PROTEST**

Anyone may submit a response or protest for this Advice Letter (AL). When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding; or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

DWA must receive a response or protest via email (**or** postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

**Email Address:**

[Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**Mailing Address:**

CA Public Utilities Commission  
Division of Water and Audits  
505 Van Ness Avenue  
San Francisco, CA 94102

On the same day the response or protest is submitted to DWA, the respondent or protestant shall send a copy of the protest to Golden State Water Company at:

**Email Address:**

**Mailing Address:**

[regulatoryaffairs@gswater.com](mailto:regulatoryaffairs@gswater.com)

Golden State Water Company  
Ronald Moore  
630 East Foothill Blvd.  
San Dimas, CA 91773

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform DWA, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

**REPLIES**

The utility shall reply to each protest and may reply to any response. Any reply must be received by DWA within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL. Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

No individuals or utilities have requested notification of filing of tariffs. Distribution of this advice letter is being made to the attached service list in accordance with General Order No. 96-B.

Sincerely,

/s/Ronald Moore

Ronald Moore  
Regulatory Affairs Department  
Golden State Water Company

C: Jim Boothe, CPUC – Water Division  
Patricia Ma, CPUC- Cal PA  
Richard Smith, CPUC- Cal PA

Schedule No. SM-1-NR  
Santa Maria District  
Non-Residential Metered Service

SPECIAL CONDITIONS

13. As authorized by the California Public Utilities Commission in D.19-05-044, an amount of \$0.145 per Ccf is to added to the quantity rate for a period of 12-months, beginning on the effective date of Advice Letter No. 1786-W. This surcharge recovers the difference between the interim rates and final rates for the period of January 1, 2019 through June 7, 2019.

(D)

(N)

(N)

(To be inserted by utility)

Advice Letter No. 1786-W  
Decision No. \_\_\_\_\_

Issued By  
**R. J. Sprowls**  
President

(To be inserted by P.U.C.)

Date Filed August 28, 2019  
Effective September 27, 2019  
Resolution No. \_\_\_\_\_

Schedule No. SM-1-R  
Santa Maria District  
Residential Metered Service

**SPECIAL CONDITIONS**

8. As authorized by the California Public Utilities Commission, an amount of \$0.099 per Ccf is to be added to the quantity rate for a 12-month period from the effective date of Advice Letter 1771-W, which is June 8, 2019. This surcharge will amortize the cumulative under collection balance recorded in the Santa Maria Stipulation Memorandum Account, (SMSMA) as of May 2017.
9. As authorized by the California Public Utilities Commission, an amount of \$0.041 per Ccf is to be added to the quantity rate for a 12-month period from the effective date of Advice Letter 1771-W, which is June 8, 2019. This surcharge will amortize the cumulative balance recorded in the 2014 Water Conservation Memorandum Account, (2014WCMA) as of May 2017.
10. As authorized by the California Public Utilities Commission, an amount of \$0.002 per Ccf is to be added to the quantity rate for a 12-month period from the effective date of Advice Letter 1771-W, which is June 8, 2019. This surcharge will amortize the cumulative balance recorded in the 2013 Interim Rate Memorandum Account, (2013IRMA) as of May 2017.

11. As authorized by the California Public Utilities Commission in D.19-05-044, an amount of \$0.145 per Ccf is to be added to the quantity rate for a period of 12-months, beginning on the effective date of Advice Letter No. 1786-W. This surcharge recovers the difference between the interim rates and final rates for the period of January 1, 2019 through June 7, 2019.

(D)

(N)

(N)

(To be inserted by utility)

Advice Letter No. 1786-W  
Decision No. \_\_\_\_\_

Issued By  
**R. J. Sprowls**  
President

(To be inserted by P.U.C.)

Date Filed August 28, 2019  
Effective September 27, 2019  
Resolution No. \_\_\_\_\_

Schedule No. SM-3ML  
Santa Maria District  
Limited Metered Irrigation Service

SPECIAL CONDITIONS

- (D)
13. As authorized by the California Public Utilities Commission in D.19-05-044, an amount of (N)  
\$0.145 per Ccf is to added to the quantity rate for a period of 12-months, beginning on the (N)  
effective date of Advice Letter No. 1786-W. This surcharge recovers the difference between |  
the interim rates and final rates for the period of January 1, 2019 through June 7, 2019. (N)

(Continued)

(To be inserted by utility)

Advice Letter No. 1786-W  
Decision No. \_\_\_\_\_

Issued By  
**R. J. Sprowls**  
President

(To be inserted by P.U.C.)

Date Filed August 28, 2019  
Effective September 27, 2019  
Resolution No. \_\_\_\_\_

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<u>Subject Matter of Sheet</u>	<u>Schedule Number</u>	<u>CPUC Sheet No.</u>	
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Non-Residential Metered Service	R3-1-NR	8343-W, 8344-W, 8345-W, 8389-W	
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Region 3 Claremont Customer Service Areas			
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Measured Irrigation Service	R3-CMH-3M	8352-W, 8393-W	
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Region 3 Desert Customer Service Area			
Haulage Flat Rate Service - Morongo	R3-DEM-2H	8394-W	
Region 3 Orange County Customer Service Area			
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Region 3 San Gabriel Customer Service Area			
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Region 3 San Dimas Customer Service Area			
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Contracts and Deviations		7803-W	

(Continued)

(To be inserted by utility) Advice Letter No. <u>1786-W</u> Decision No. _____	Issued By <b>R. J. Sprowls</b> President	(To be inserted by P.U.C.) Date Filed <u>August 28, 2019</u> Effective _____ Resolution No. _____
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Table of Contents

The following tariff sheets contain all effective rates and rules affecting rates and service of the utility, together with information relating thereto:

<u>Subject Matter of Sheet</u>	<u>Sheet No.</u>
Title Page	4905-W
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Preliminary Statements: 8370-W, 7005-W, 3140-W, 3141-W, 3142-W, 6940-W, 4911-W, 4973-W, 5081-W, 5096-W*, 5097-W*, 5102-W, 5223-W, 5278-W, 5284-W, 5322-W, 6477-W, 6478-W, 6479-W, 7075-W, 7076-W, 5556-W, 5576-W, 5607-W, 5852-W, 5701-W, 5846-W, 5848-W, 5937-W, 5939-W, 5979-W, 6055-W, 6101-W, 6103-W, 6123-W, 7745-W, 7746-W, 6223-W, 6225-W, 6227-W, 6422-W*, 6475-W, 6559-W, 6652-W, 6858-W, 7129-W, 6938-W, 7368-W, 7441-W, 7442-W, 7451-W, 7481-W, 7728-W, 7730-W, 7747-W, 7748-W, 7749-W, 7750-W, 7751-W, 7752-W, 7753-W, 7754-W, 7755-W, 7756-W, 7757-W, 7994-W, 8007-W, 8246-W, 8365-W, 8366-W, 8367-W, 8418-W, 8419-W	
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Orcutt	5558-W
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Nipomo	5259-W
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(Continued)

<b>(To be inserted by utility)</b>	<i>Issued By</i>	<b>(To be inserted by P.U.C.)</b>
Advice Letter No. <u>1786-W</u>	<b>R. J. Sprowls</b>	Date Filed <u>August 28, 2019</u>
Decision No. _____	<b>President</b>	Effective <u>September 27, 2019</u>
		Resolution No. _____

**VERIFICATION**

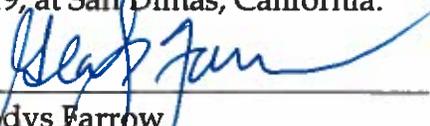
I am Vice President of Finance, Treasurer, Assistant Secretary for, and an officer of, Golden State Water Company ("GSWC"), and am authorized to make this verification on its behalf with respect to the customer notification for **Advice Letter No. 1786-W**. GSWC intends to provide customer notification of **Advice Letter No. 1786-W** to affected customers by: (check all that apply)

- Bill Insert
- Bill Message
- Individual Notice by Mail
- Electronic Mail
- Legal Notices Published in a Local Newspaper of General Circulation
- Other (please explain) \_\_\_\_\_

GSWC will include a bill message on each of its customers' first bill generated after the rate change goes into effect to inform them of the rate change in **Advice Letter No. 1786-W**. Additionally, a copy of this advice letter and the bill message is posted on the Company's website. The forgoing statement is true of my own knowledge.

I declare under penalty of perjury that the foregoing is true and correct.

Executed on this 28th day of August, 2019, at San Dimas, California.

  
\_\_\_\_\_  
Gladys Farrow  
Vice President, Finance, Treasurer, Assistant  
Secretary  
Golden State Water Company

## **Bill Message**

Effective 9/27/19 a temporary surcharge will be in effect for 12-months to recover the difference between interim rates and final rates, as a result of a delay in Decision 19-05-044.

# GOLDEN STATE WATER COMPANY

## SERVICE LIST

### SANTA MARIA DISTRICT

City of Santa Maria  
2065 East Main Street  
Santa Maria, CA 93454  
[lmong@ci.santa-maria.ca.us](mailto:lmong@ci.santa-maria.ca.us)

Nipomo Community Services Dist.  
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Nipomo, CA 93444  
[Mike@shipseyandseitz.com](mailto:Mike@shipseyandseitz.com)  
[miglesias@ncsd.ca.gov](mailto:miglesias@ncsd.ca.gov)

County Counsel  
105 East Anapamu Street, Rm. 201  
Santa Barbara, CA 93101

County Clerk  
County of San Luis Obispo  
1055 Monterey Street - #D-120  
San Luis Obispo, CA 93408

County Counsel  
County of San Luis Obispo  
County Government Center, #D-320  
San Luis Obispo, CA 93408

City Clerk & City Attorney  
City of Santa Maria  
110 East Cook Street  
Santa Maria, CA 93454  
[rgarietz@ci.santa-maria.ca.us](mailto:rgarietz@ci.santa-maria.ca.us)  
[psinco@cityofsantamaria.org](mailto:psinco@cityofsantamaria.org) – City Interim Attorney

City Clerk & City Attorney  
City of Guadalupe  
918 Obispo Street  
Guadalupe, CA 93434

LAFCO  
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San Luis Obispo, CA 93401  
[dbloyd@slolafco.com](mailto:dbloyd@slolafco.com)

Santa Barbara LAFCO  
105 E. Anapamu Room 406  
Santa Barbara, CA 93101  
[lafco@sblafco.org](mailto:lafco@sblafco.org)

Cypress Ridge Owner's Association  
Attn: President  
1400 Madonna Road  
San Luis Obispo, CA 93405  
[Cory.Bauer@managementtrust.com](mailto:Cory.Bauer@managementtrust.com)

County Government Center  
1050 Monterey Street – Room 207  
San Luis Obispo, CA 93408

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