

## PUBLIC UTILITIES COMMISSION

505 VAN NESS AVENUE  
SAN FRANCISCO, CA 94102-3298



April 27, 2021

Ronald K. Moore  
Senior Regulatory Analyst  
Golden State Water Company  
630 East Foothill Blvd.  
San Dimas, CA 91773

Dear Mr. Moore,

The Commission has approved Golden State Water Company's Advice Letter No. 1849, filed on March 17, 2021, regarding request to amortize the WRAM Balancing Account under-collection for the Los Osos district.

Enclosed are copies of the following revised tariff sheets, effective April 9, 2021, for the utility's files:

<b>P.U.C. Sheet No.</b>	<b>Title of Sheet</b>
8872-W	Schedule No. LO-1-NR, Los Osos Customer Service Area Non-Residential Metered Service, Page 2
8873-W	Schedule No. LO-1-R, Los Osos Customer Service Area Residential Metered Service, Page 2
8874-W	Schedule No. LO-RCW, Los Osos District Non-Residential Recycled Water Service, Page 2
8875-W	Table of Contents, Page 2
8876-W	Table of Contents, Page 1

Please contact Jeremy Ho at [JRY@cpuc.ca.gov](mailto:JRY@cpuc.ca.gov) or 415-703-1905, if you have any questions.

Thank you,

/s/ROBIN BRYANT

Robin Bryant  
Water Division

Enclosures

**CALIFORNIA PUBLIC UTILITIES COMMISSION  
DIVISION OF WATER AND AUDITS**

**Advice Letter Cover Sheet**

**Utility Name:** GOLDEN STATE WATER COMPANY

**Date Mailed to Service List:** 3/17/2021

**District:** Los Osos

**CPUC Utility #:** 133 W

**Protest Deadline (20<sup>th</sup> Day):** 4/6/2021

**Advice Letter #:** 1849-W

**Review Deadline (30<sup>th</sup> Day):** 4/16/2021

**Tier**     1     2     3     Compliance

**Requested Effective Date:** 4/9/2021

**Authorization**    D.12-04-048

**Rate Impact:** \$107,908

**Description:** Request to amortize the WRAM Balancing Account under-collection for Los Osos.

2.6%

The protest or response deadline for this advice letter is 20 days from the date that this advice letter was mailed to the service list. Please see the "Response or Protest" section in the advice letter for more information.

**Utility Contact:** Jon Pierotti

**Utility Contact:** Nanci Tran

**Phone:** (909) 394-3600 x 656

**Phone:** (909) 394-3600 x 432

**Email:** [Jon.Pierotti@gswater.com](mailto:Jon.Pierotti@gswater.com)

**Email:** [nanci.tran@gswater.com](mailto:nanci.tran@gswater.com)

**DWA Contact:** Tariff Unit

**Phone:** (415) 703-1133

**Email:** [Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**DWA USE ONLY**

DATE

STAFF

COMMENTS

<u>DATE</u>	<u>STAFF</u>	<u>COMMENTS</u>
_____	_____	_____
_____	_____	_____

APPROVED

WITHDRAWN

REJECTED

**Signature:** \_\_\_\_\_

**Comments:** \_\_\_\_\_

**Date:** \_\_\_\_\_

\_\_\_\_\_



March 17, 2021

**Advice Letter No. 1849-W**

**(U 133-W)**

**TO THE PUBLIC UTILITIES COMMISSION OF THE STATE OF CALIFORNIA**

Golden State Water Company (“GSWC”) hereby transmits the following tariff sheets applicable to its Los Osos Customer Service Area (“CSA”):

<u>CPUC Sheet No</u>	<u>Title of Sheet</u>	<u>Canceling CPUC Sheet No.</u>
Revised No. 8872-W	Schedule No. LO-1-NR Los Osos Customer Service Area Non-Residential Metered Service, Page 2	Revised No. 8819-W
Revised No. 8873-W	Schedule No. LO-1-R Los Osos Customer Service Area Residential Metered Service, Page 2	Revised No. 8820-W
Revised No. 8874-W	Schedule No. LO-RCW Los Osos District Non-Residential Recycled Water Service, Page 2	Revised No. 8821-W
Revised No. 8875-W	Table of Contents Page 2	Revised No. 8870-W
Revised No. 8876-W	Table of Contents Page 1	Revised No. 8871-W

**Subject**

This advice letter requests to amortize the combined balance in the Water Revenue Adjustment Mechanism (“WRAM”) balancing account and the Modified Cost Balancing Account (“MCBA”) for GSWC’s Los Osos Customer Service Area. This filing will include the 2020 WRAM&MCBA over collection of \$16,034 along with a residual under collection of \$152,180<sup>1</sup> from previous WRAM&MCBA surcharges/surcredits, and net of \$28,237 from Schedule 14.1 drought water use

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<sup>1</sup> Residuals as of February 28, 2021 from previous WRAM&MCBA filings

violation fines and drought emergency surcharges<sup>2</sup>. The combined balance in this filing is an under collection of \$107,908 or 2.6% of the current adopted annual revenue.

This request is made pursuant to Decision (“D.”) 12-04-048 authorizing GSWC to amortize its WRAM&MCBA account balances annually. D.12-04-048 Ordering Paragraph 5:

5. *Applicants must submit their annual requests for amortization of net Water Revenue Adjustment Mechanism/Modified Cost Balancing Account balances by a Tier 1 Advice Letter on or before March 31<sup>st</sup>.*

### **Background**

On May 8, 2009, the Commission issued D.09-05-005 authorizing the settlement agreements between GSWC and DRA on WRAM and Conservation Rate Design issues pursuant to Ordering Paragraph 1 from D.09-05-005:

1. *The Settlement Agreement, as modified by updated conservation rates set forth in Table 1 and Table 2 herein, is approved and adopted.*

The purpose and method of tracking WRAM and MCBA is outlined in the D.09-05-005 Settlement Agreement Section V. MECHANISMS FOR DECOUPLING SALES AND REVENUE:

- A. *The goals of the decoupling mechanisms in the Pilot Program are as follows:*
  - a. *Sever the relationship between sales and revenue to remove any disincentive for GSWC to implement conservation rates and conservation programs.*
  - b. *Ensure cost savings resulting from conservation are passed on to ratepayers.*
  - c. *Reduce overall water consumption by GSWC ratepayers.*
- B. *Decoupling of GSWC will be accomplished through both the following mechanisms:*
  - a. *A WRAM for each ratemaking area in Region 1 except Clearlake.*
  - b. *An MCBA for each ratemaking area in Region 1 except Clearlake. MCBA's will replace existing cost balancing accounts for purchased power, purchased water, and pump tax.*
- C. *Together, these decoupling mechanisms will ensure recovery of the adopted fixed costs recovered through the quantity charge and the actual variable costs for purchased water, purchased power, and pump taxes. The fixed costs not included in these accounts will be recovered through*

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<sup>2</sup> Monies collected as of February 28, 2021

*the service charge, which is a monthly charge that customers pay regardless of consumption.*

*D. In accordance with established Commission practice, the WRAM and MCBA accounts will accrue interest at the 90-day commercial paper rate.*

The net WRAM balance is allocated to all customers on the general metered<sup>3</sup> rate schedule. The Settlement Agreement Section VI. WATER REVENUE ADJUSTMENT MECHANISM (WRAM) states:

- A. For each ratemaking area in Region 1, except Clearlake, the WRAM will track the differences between the total quantity charge revenues authorized by the Commission (“Total Adopted Quantity Revenues”) and the total revenues actually recovered through the quantity charge based on actual sales (“Total Actual Quantity Revenues”), excluding:*
- 1. Fire service revenue;*
  - 2. Unmetered Service revenue;*
  - 3. Other non-general metered service revenue.*

Non-general metered water services are excluded from the allocation of the net WRAM balance; however, we are including WRAM allocation to tariff LO-RCW. Tariff LO-RCW was added to the system on September 6, 2018 pursuant to Advice Letter 1752-W. Although it is a non-general metered tariff, there is currently no customers on the tariff. The customers that are anticipated to move on the tariff are currently on general metered tariff LO-1-NR and should contribute to the amortization of the WRAM&MCBA that they partially generated.

Per the Settlement Agreement Section VII. MODIFIED COST BALANCING ACCOUNT (MCBA), the activation of MCBA will replace current supply cost balancing accounts. The Settlement Agreement Section VII.C states:

- C. An MCBA will replace each of the current balancing accounts, now referred to as Supply Cost Balancing Accounts.*
- 1. GSWC currently has a Supply Cost Balancing Account for purchased water, purchased power, and pump taxes.*
  - 2. The Supply Cost Balancing Account tracks cost changes attributable to changes in unit price, but not changes in the amount of consumption.*
  - 3. MCBA's track changes in price and quantity.*

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<sup>3</sup> General metered schedules in Los Osos: LO-1-R, LO-1-NR

On April 30, 2012, the Commission issued D.12-04-048, which amongst other things, changed the amortization period of the Water Revenue Adjustment Mechanism. D.12-04-48 Ordering Paragraph 3:

3. *We adopt the amortization schedule set forth in Appendix A with a cap on total net Water Revenue Adjustment Mechanism/Modified Cost Balancing Account (WRAM/MCBA) surcharges of 10% of the last authorized revenue requirement. The cap shall be effective the first test year of each applicant's pending or next General Rate Case, as follows:*

*-Golden State and Park: Advice Letter filing on March 2014*

*-Cal Water: Advice Letter filings on March 2015*

*-Apple Valley: Advice Letter filing on March 2016*

*WRAM/MCBA account balances incurred prior to the first test year referenced above continue to be amortized under the adopted amortization schedule without being subject to surcharge cap.*

D.12-04-048 Appendix A:

<b>WRAM/MCBA under collections as a % of the district's last authorized revenue requirement</b>	<b>Amortization Period</b>		
	<b>SP U-27-W Balancing Account Guidelines</b>	<b>Applicants' Proposal in Rebuttal Testimony</b>	<b>Decision</b>
<b>2% - 5%</b>	12 months	12 months	Use Applicants' proposal with the following modification: A cap on total net WRAM/MCBA surcharges of 10% of the last authorized revenue requirement to be effective the first test year of each applicant's pending or next GRC, as follows: Golden State and Park – Advice Letter filings on March 2014 Cal Water – Advice Letter filings on March 2015 Apple Valley – Advice Letter filings on March 2016. WRAM/MCBA account balances incurred prior to the first test year above may continue to be amortized under applicant's proposal.
<b>5% - 10%</b>	24 months	18 months	
<b>10% - 15%</b>	36 months	19 to 36 months (10% per year as a guide with no cap)	
<b>15% - 22.5%</b>			
<b>22.5% - 30%</b>			
<b>Over 30%</b>		36 months	

Decision 12-04-048 also permits:

*“applicants to include any under-amortized or over-amortized amounts from ongoing surcharges or surcredits in their annual Tier 1 Advice Letter submissions. Those ongoing surcharges or surcredits may run until the end of their originally intended amortization terms, provided that the ceiling on annual Advice Letter amortization, discussed earlier, is not exceeded.”<sup>4</sup>*

In regards to under or over collections, D.12-04-048 Conclusion of Law 7 states:

*“It is reasonable to require that net WRAM&MCBA over-collections be amortized through a surcredit on a customer’s service charges and that all under-collections be amortized through a surcharge on the volumetric rate.”<sup>5</sup>*

In Los Osos, the net WRAM&MCBA balance is an under collection of \$107,908 or 2.6% of the current adopted annual revenue. Pursuant to D.12-04-084, the balance should be amortized over a 12-month period through a volumetric rate.

### **Request**

The purpose of this filing is to amortize Los Osos’s net WRAM&MCBA. The net WRAM&MCBA is an under collection of \$107,908 or 2.6% of current adopted annual revenue. GSWC is seeking a temporary surcharge of \$0.407 per Ccf for residential metered customers on Schedule No. LO-1-R, non-residential metered customers on Schedule No. LO-1-NR, and recycled water customer on LO-RCW. The previous Los Osos WRAM&MCBA surcharge approved through Advice Letter 1813-WA will expire upon the effective date of the WRAM&MCBA surcharge from this filing. This temporary surcharge would be in effect for a 12-month period beginning on the effective date of this advice letter.

Any over or under collection resulting from the WRAM&MCBA surcharge or sur-credit will be included in subsequent filings of the WRAM&MCBA.

### **Tier designation**

Pursuant to D. 07-01-024, this advice letter is submitted with a Tier 1 designation.

### **Effective date**

GSWC is requesting that this filing become effective on April 9, 2021.

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<sup>4</sup> Decision 12-04-048 at p.30

<sup>5</sup> Decision 12-04-048 at p.40

**Notice**

Customer Notice – Customer notice of Tier 1 advice letters is not required under General Order 96-B, General Rule 7.3.1. However, GSWC will be including a bill message to notify customers of the filing.

Service Lists – In accordance with General Order 96-B, General Rule 4.3 and 7.2 and Water Industry Rule 4.1, a copy of this supplemental advice letter will be mailed or electronically transmitted on March 17, 2021, to competing and adjacent utilities and other utilities or interested parties having requested such notification.

**Response or Protest**

Anyone may submit a response or protest for this Advice Letter (“AL”). When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

A **response** supports the filing and may contain information that proves useful to the Commission in evaluating the advice letter. A **protest** objects to the AL in whole or in part and must set forth the specific grounds on which it is based. These grounds are:

1. The utility did not properly serve or give notice of the AL;
2. The relief requested in the AL would violate statute or Commission order, or is not authorized by statute or Commission order on which the utility relies;
3. The analysis, calculations, or data in the AL contain material error or omissions;
4. The relief requested in the AL is pending before the Commission in a formal proceeding;  
or
5. The relief requested in the AL requires consideration in a formal hearing, or is otherwise inappropriate for the AL process; or
6. The relief requested in the AL is unjust, unreasonable, or discriminatory, provided that such a protest may not be made where it would require re-litigating a prior order of the Commission.

A protest may not rely on policy objections to an AL where the relief requested in the AL follows rules or directions established by statute or Commission order applicable to the utility. A protest shall provide citations or proofs where available to allow staff to properly consider the protest.

Water Division must receive a response or protest via email (**or** postal mail) within 20 days of the date the AL is filed. When submitting a response or protest, **please include the utility name and advice letter number in the subject line.**

The addresses for submitting a response or protest are:

**Email Address:**

[Water.Division@cpuc.ca.gov](mailto:Water.Division@cpuc.ca.gov)

**Mailing Address:**

CA Public Utilities Commission  
Water Division  
505 Van Ness Avenue  
San Francisco, CA 94102

On the same day the response or protest is submitted to Water Division, the respondent or protestant shall send a copy of the protest to Golden State Water Company at:

**Email Address:**

[regulatoryaffairs@gswater.com](mailto:regulatoryaffairs@gswater.com)

**Mailing Address:**

Golden State Water Company  
Nanci Tran  
630 East Foothill Blvd.  
San Dimas, CA 91773

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

**Replies**

The utility shall reply to each protest and may reply to any response. Any reply must be received by Water Division within five business days after the end of the protest period, and shall be served on the same day on each person who filed the protest or response to the AL.

Cities and counties that need Board of Supervisors or Board of Commissioners approval to protest should inform the Water Division, within the 20-day protest period, so that a late filed protest can be entertained. The informing document should include an estimate of the date the proposed protest might be voted on.

The actions requested in this advice letter are not now the subject of any formal filings with the California Public Utilities Commission, including a formal complaint, nor action in any court of law.

Sincerely,

/s/Nanci Tran  
Regulatory Affairs Department

c: Jim Boothe, CPUC– Water Division  
Richard Rauschmeier, CPUC- Water Branch, Cal PAO  
Victor Chan, CPUC- Water Branch, Cal PAO  
Jon Pierotti, GSWC- Regulatory Affairs Department  
Jenny Darney-Lane, GSWC- Regulatory Affairs Department  
Keith Switzer, GSWC-Regulatory Affairs Department

Schedule No. LO-1-NR  
Los Osos District  
Non-Residential Metered Service

**SPECIAL CONDITIONS**

1. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
2. Pursuant to Decision No. 19-05-044 and Advice Letter No. 1842-W, a surcharge of \$0.095 per Ccf will be applied to all metered customer bills excluding customers that are receiving the CARW credit. This surcharge will offset the CARW credits and CARW administrative program costs recorded in the CARW Balancing Account.
3. As authorized by Rule 9.1.e. for customers with more than one meter on a single service line, the customer's service charge rate is based on a factor multiplied by the service charge applicable to the nearest standard meter size of the combined meters as determined by the equivalent diameter methodology.
4. Non-residential Sprinkler rates can be added as needed utilizing the multiplication factors identified in Appendix F of D. 16-12-067, applied to the 5/8" meter charge.
5. As authorized by the California Public Utilities Commission, an amount of \$0.814 per Ccf is to be added to the quantity rate for a 36-month period from the effective date of Advice Letter 1771-W, which is June 8, 2019. This surcharge will amortize the cumulative balance recorded in the Los Osos Groundwater Adjudication Memorandum Account, (LOAMA) as of May 2017.
6. As authorized by the California Public Utilities Commission, an amount of \$0.407 per Ccf based on a 12-month amortization period, is to be added to the Quantity Rate, beginning on April 9, 2021 as indicated in Advice Letter 1849-W. The surcharge may be recalibrated annually, if necessary. This surcharge will recover the under collection in the WRAM&MCBA Balancing Account.

(D)

(N)

(N)

(Continued)

(To be inserted by utility)

Advice Letter No. 1849-W  
Decision No. \_\_\_\_\_

Issued By  
**R. J. Sprowls**  
President

(To be inserted by P.U.C.)

Date Filed March 17, 2021  
Effective April 9, 2021  
Resolution No. \_\_\_\_\_

Schedule No. LO-1-R  
Los Osos District  
Residential Metered Service

**SPECIAL CONDITIONS**

1. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
2. Pursuant to Decision No. 19-05-044 and Advice Letter No. 1842-W, a surcharge of \$0.095 per Ccf will be applied to all metered customer bills excluding customers that are receiving the CARW credit. This surcharge will offset the CARW credits and CARW administrative program costs recorded in the CARW Balancing Account.
3. As authorized by the California Public Utilities Commission, an amount of \$0.814 per Ccf is to be added to the quantity rate for a 36-month period from the effective date of Advice Letter 1771-W, which is June 8, 2019. This surcharge will amortize the cumulative balance recorded in the Los Osos Groundwater Adjudication Memorandum Account, (LOAMA) as of May 2017. (D)
4. As authorized by the California Public Utilities Commission, an amount of \$0.407 per Ccf based on a 12-month amortization period, is to be added to the Quantity Rate, beginning on April 9, 2021 as indicated in Advice Letter 1849-W. The surcharge may be recalibrated annually, if necessary. This surcharge will recover the under collection in the WRAM&MCBA Balancing Account. (N)  
|  
(N)

(Continued)

(To be inserted by utility)

Advice Letter No. 1849-W  
Decision No. \_\_\_\_\_

Issued By  
**R. J. Sprowls**  
President

(To be inserted by P.U.C.)

Date Filed March 17, 2021  
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Schedule No. LO-RCW  
Los Osos District  
Non-Residential Recycled Water Service

**SPECIAL CONDITIONS**

1. All bills are subject to the reimbursement fee set forth on Schedule No. UF.
2. Pursuant to Decision No. 19-05-044 and Advice Letter No. 1842-W, a surcharge of \$0.095 per Ccf will be applied to all metered Customer bills excluding customers that are receiving the CARW credit. This surcharge will offset the CARW credits and CARW administrative program costs recorded in the CARW Balancing Account.
3. As authorized by the California Public Utilities Commission, an amount of \$0.814 per Ccf is to be added to the quantity rate for a 36-month period from the effective date of Advice Letter 1771-W, which is June 8, 2019. This surcharge will amortize the cumulative balance recorded in the Los Osos Groundwater Adjudication Memorandum Account, (LOAMA) as of May 2017.
4. As authorized by the California Public Utilities Commission, an amount of \$0.407 per Ccf based on an 12-month amortization period, is to be added to the Quantity Rate, beginning on April 9, 2021 as indicated in Advice Letter 1849-W. The surcharge may be recalibrated annually, if necessary. This surcharge will recover the under collection in the WRAM&MCBA Balancing Account.

(D)

(N)

(N)

(Continued)

(To be inserted by utility)

Advice Letter No. 1849-W  
Decision No. \_\_\_\_\_

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President

(To be inserted by P.U.C.)

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Table of Contents

<u>Subject Matter of Sheet</u>	<u>Schedule Number</u>	<u>CPUC Sheet No.</u>	
Rate Schedules:			
All Districts			
Utility Fee Surcharge	UF	8725-W*	
Private Fire Service	AA-4	4801-W, 3285-W	
Public Fire Service	AA-5	2931-W	
Cross Connection Control Fee	CCCCF	7506-W	
Fire Flow Testing Charge	FF	7659-W	
California Alternate Rates for Water	LI	8310-W, 8680-W	
Arden-Cordova District			
General Metered Service	AC-1	8799-W, 8814-W, 8793-W, 8844-W	
Flat Rate Service	AC-2	8794-W, 8815-W, 8845-W	
Bay Point District			
Residential Metered Service	BY-1-R	8809-W, 8847-W	
Non-Residential Metered Service	BY-1-NR	8808-W, 8816-W, 8846-W	
Clearlake District			
General Metered Service	CL-1	8740-W, 8818-W	
Los Osos District			
Residential Metered Service	LO-1-R	8746-W, 8873-W	(C)
Non-Residential Metered Service	LO-1-NR	8744-W, 8872-W	(C)
Recycled Water Service	LO-RCW	8748-W, 8874-W	(C)
Water Conservation	14.1-LO	7300-W, 7301-W, 7302-W, 7303-W, 7304-W, 7305-W	
Metropolitan District			
Residential Metered Service	ME-1-R	8765-W, 8868-W	
Non-Residential Metered Service	ME-1-NR	8763-W, 8867-W	
Reclaimed Water Service	ME-3	8767-W, 8869-W	

(Continued)

<b>(To be inserted by utility)</b>	<i>Issued By</i>	<b>(To be inserted by P.U.C.)</b>
Advice Letter No. <u>1849-W</u>	<b>R. J. Sprowls</b>	Date Filed <u>March 17, 2021</u>
Decision No. _____	<b>President</b>	Effective _____
		Resolution No. _____

Table of Contents

The following tariff sheets contain all effective rates and rules affecting rates and service of the utility, together with information relating thereto:

<u>Subject Matter of Sheet</u>	<u>Sheet No.</u>
Title Page	4905-W
Table of Contents	8876-W, 8875-W, 8865-W, 8682-W (T)
Preliminary Statements: 8370-W, 7005-W, 3140-W, 3141-W, 3142-W, 6940-W, 5096-W, 5223-W, 6477-W, 6478-W, 6479-W, 7075-W, 7076-W, 5607-W, 5848-W, 5937-W, 6101-W, 8685-W, 8686-w, 6123-W, 6225-W, 6559-W, 6652-W, 6858-W, 6938-W, 7368-W, 7441-W, 7442-W, 7451-W, 7481-W, 7730-W, 8812-W, 7747-W, 7750-W, 7756-W, 7994-W, 8007-W, 8246-W, 8365-W, 8366-W, 8367-W, 8418-W, 8419-W, 8494-W, 8495-W, 8721-W	
Tariff Area Maps:	
Arden - Cordova	
Arden	6837-W
Cordova	6838-W
Barstow	5560-W
Bay	8189-W
Calipatria-Niland	6846-W
Clearlake	6839-W
Claremont	8487-W
Desert	
Morongo Valley	8223-W, 6427-W
Apple Valley North	5802-W
Apple Valley South	8221-W
Desert View	8222-W
Lucerne Valley	5805-W
Los Osos	
Edna Road	8198-W
Los Osos	5253-W
Metropolitan	
Artesia	8292-W
Norwalk	7732-W
Bell-Bell Gardens	6675-W
Florence-Graham	8294-W
Hollydale	8295-W
Culver City	8728-W
Southwest	8196-W
Willowbrook	6842-W
Orange County	
Bolsa Chica	4381-W
Cowan Heights	8251-W
Cypress-Los Alamitos-Stanton	8723-W
Placentia-Yorba Linda	6844-W
San Dimas	8226-W
San Gabriel Valley	
South Arcadia	8285-W
South San Gabriel	8005-W
Santa Maria	
Cypress Ridge	8254-W
Lake Marie	8840-W
Orcutt	8841-W
Sisquoc	8842-W
Tanglewood	8638-W
Nipomo	8637-W
Simi Valley	8731-W
Wrightwood	6428-W

(To be inserted by utility)  
 Advice Letter No. 1849-W  
 Decision No. \_\_\_\_\_

Issued By  
**R. J. Sprowls**  
 President

(To be inserted by P.U.C.)  
 Date Filed March 17, 2021  
 Effective \_\_\_\_\_  
 Resolution No. \_\_\_\_\_

**GOLDEN STATE WATER COMPANY**

**DISTRIBUTION LIST**

**LOS OSOS DISTRICT**

Arroyo Grande Municipal Water Dept.  
P.O. Box 550  
Arroyo Grande, CA 93420  
(805) 489-1303  
[STaylor@ArroyoGrande.org](mailto:STaylor@ArroyoGrande.org)

Cambria Community Services District  
1316 Tamson Drive, Suite 201  
P.O. Box 65  
Cambria, CA 93428

Morro Bay City Water (City Hall)  
595 Harbor Blvd.  
Morro Bay, CA 93442  
(805) 772-1214

San Luis Obispo City Water  
879 Morro Street  
San Luis Obispo, CA 93403  
(805) 549-7132

S & T Mutual Water Co.  
P.O. Box 6391  
Los Osos, CA 93412  
[STMutualWater@gmail.com](mailto:STMutualWater@gmail.com)

Utilities Department  
Los Osos Community Services District  
P O Box 6064  
Los Osos, CA 93412

County Clerk  
County of San Luis Obispo  
1055 Monterey St - #D-120  
San Luis Obispo, CA 93408

County Counsel  
County of San Luis Obispo  
County Government Center - #D-320  
San Luis Obispo, CA 93408

LAFCO  
1042 Pacific Street, Suite A  
San Luis Obispo, CA 93401  
[dbloyd@slolafco.com](mailto:dbloyd@slolafco.com)

Fred G. Yanney  
Yanney Law Office  
17409 Marquardt Ave., Unit C-4  
Cerritos, CA 90703  
[FredYanney@gmail.com](mailto:FredYanney@gmail.com)

**Megan Somogyi**  
Goodin, MacBride, Squeri & Day, LLP  
505 Sansome Street, Suite 900  
San Francisco, CA 94111  
[MSomogy@goodinmacbride.com](mailto:MSomogy@goodinmacbride.com)